

# ON THE EMBEDDING CONSTANT OF THE SOBOLEV TYPE INEQUALITY FOR FRACTIONAL DERIVATIVES

MAKOTO MIZUGUCHI, AKITOSHI TAKAYASU, TAKAYUKI KUBO,  
AND SHIN'ICHI OISHI

ABSTRACT. This paper is concerned with the embedding constant of the Sobolev type inequality for fractional derivatives on  $\Omega \subset \mathbb{R}^N$  ( $N \in \mathbb{N}$ ). The constant is explicitly described using the analytic semigroup over  $L^2(\Omega)$  generated by the Laplace operator. Some numerical examples of estimating the embedding constant are also provided.

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## 1. INTRODUCTION

Let  $\Omega$  be a domain in  $\mathbb{R}^N$  ( $N \in \mathbb{N}$ ). For  $p \geq 1$ , we denote the usual Lebesgue space by

$$L^p(\Omega) := \begin{cases} \{f : \Omega \rightarrow \mathbb{R} \mid \int_{\Omega} |f(x)|^p dx < \infty\} & (1 \leq p < \infty), \\ \{f : \Omega \rightarrow \mathbb{R} \mid \text{ess sup}_{x \in \Omega} |f(x)| < \infty\} & (p = \infty) \end{cases}$$

with the norm

$$\|f\|_{L^p(\Omega)} := \begin{cases} \left(\int_{\Omega} |f(x)|^p dx\right)^{\frac{1}{p}} & (1 \leq p < \infty), \\ \text{ess sup}_{x \in \Omega} |f(x)| & (p = \infty), \end{cases}$$

respectively. Let a function space

$$H_0^1(\Omega) := \{u \in L^2(\Omega) \mid \nabla u \in (L^2(\Omega))^2 \text{ and } u = 0 \text{ on } x \in \partial\Omega \text{ in the trace sense.}\},$$

where the  $L^2$  inner product is denoted by  $(u, v)_{L^2(\Omega)} = \int_{\Omega} u(x)v(x)dx$ . Let  $A : \mathcal{D}(A) \rightarrow L^2(\Omega)$  be an operator defined by

$$(1) \quad (Au, v)_{L^2(\Omega)} = (\nabla u, \nabla v)_{L^2(\Omega)}, \quad \forall v \in H_0^1(\Omega),$$

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where  $\mathcal{D}(A) := \{u \in H_0^1(\Omega) \mid Au \in L^2(\Omega)\}$  denotes the domain of  $A$ . For  $i \in \mathbb{N}$ , let  $\lambda_i$  be an eigenvalue<sup>1</sup> of  $A$  satisfying  $0 < \lambda_1 \leq \lambda_2 \leq \dots$ . A function  $\psi_i \in \mathcal{D}(A)$  denotes an eigenfunction of  $A$  corresponding to  $\lambda_i$  satisfying  $(\psi_i, \psi_j)_{L^2(\Omega)} = \delta_{i,j}$ , where  $\delta_{i,j}$  is Kronecker's delta<sup>2</sup>. For  $u \in L^2(\Omega)$ , we express  $u = \sum_{j=1}^{\infty} c_j \psi_j$  using the spectral decomposition, where  $c_i = (u, \psi_i)_{L^2(\Omega)}$ . Then, since  $A : \mathcal{D}(A) \rightarrow L^2(\Omega)$  is a positive definite and self-adjoint operator, the fractional power of  $A$  is defined by

$$(2) \quad A^\alpha u = \sum_{i=1}^{\infty} \lambda_i^\alpha c_i \psi_i \in L^2(\Omega)$$

for  $0 \leq \alpha \leq 1$ , where  $\mathcal{D}(A^\alpha) = \{u = \sum_{i=1}^{\infty} c_i \psi_i \in L^2(\Omega) \mid \sum_{i=1}^{\infty} \lambda_i^{2\alpha} c_i^2 < \infty\}$  denotes the domain of  $A^\alpha$ . Let us define a function space<sup>3</sup>  $X_\alpha$  as  $X_\alpha = \mathcal{D}(A^\alpha)$  endowed with the norm  $\|u\|_{X_\alpha} = \|A^\alpha u\|_{L^2(\Omega)}$ . We note that  $X_0 = L^2(\Omega)$  and  $X_1 = \mathcal{D}(A)$ . For two function spaces  $Y$  and  $Z$  satisfying  $Y \subset Z$  endowed with the norm  $\|\cdot\|_Y$  and  $\|\cdot\|_Z$ , the constant  $C > 0$  is referred to as the embedding constant from  $Y$  to  $Z$  if the following inequality holds:

$$(3) \quad \sup_{u \in Y \setminus \{0\}} \frac{\|u\|_Z}{\|u\|_Y} \leq C < \infty.$$

Note that  $C$  is independent of all functions in  $Y$ . Furthermore, the value of  $\sup_{u \in Y \setminus \{0\}} \|u\|_Z / \|u\|_Y$  is referred to as the best constant of  $C$ .

The main aim of this paper is to obtain the embedding constant  $C_{p,\alpha}$  from  $X_\alpha$  to  $L^p(\Omega)$  such that

$$(4) \quad \|u\|_{L^p(\Omega)} \leq C_{p,\alpha} \|u\|_{X_\alpha}, \quad \forall u \in X_\alpha$$

for  $\alpha > N(1/2 - 1/p)/2$ .

The inequality (4) is known as a Sobolev type inequality for fractional derivatives. The existence<sup>4</sup> of the embedding constant for (4) has been studied and applied to a branch of partial differential equations ([2, 3, 4, 5, 6, 7], etc.). The embedding constant can be used in many different ways to show the existence of solutions to partial differential equations. For example, the explicit value of the embedding constant from  $H_0^1(\Omega)$  to  $L^p(\Omega)$  plays an essential role in numerical verification of the existence of solutions to partial differential equations [8, 9].

If we consider (4) in  $\mathbb{R}^N$ , the best constant of the embedding constant from  $X_\alpha$  to  $L^p(\mathbb{R}^N)$  has been shown. The best constant for  $p = 2N/(N - 2)$ ,  $\alpha = 1/2$ , and  $N \geq 3$  was given by Aubin [10] and Talenti [11]. Later, the best constant for  $p = 2N/(N - 4\alpha)$  and  $0 < \alpha < N/4$  was also obtained by Lieb [12].

Some embedding constants were obtained for the inequality (4) on the bounded domain, for example, Nakao and Yamamoto [8] derived the embedding constant for  $p \in (2, \infty)$  and  $\alpha = 1/2$  using the best constant given by [10, 11]. Xiao and Zhai [13] provided a formula for the embedding constant for  $2 \leq p < \infty$  and  $\alpha = N/4$

<sup>1</sup>As the inverse of the operator  $A$  is a compact and self-adjoint operator, the spectral theorem shows that the operator  $A$  has positive discrete spectrum (cf. [1]).

<sup>2</sup>Namely,  $\lambda_i$  and  $\psi_i$  satisfy  $(\nabla \psi_i, \nabla v)_{L^2(\Omega)} = \lambda_i (\psi_i, v)_{L^2(\Omega)}$ ,  $\forall v \in H_0^1(\Omega)$ .

<sup>3</sup>The operator  $A^\alpha$  is a closed and invertible operator. The closeness of  $A^\alpha$  implies that  $X_\alpha$  endowed with the graph norm:  $\|u\|_{L^2(\Omega)} + \|u\|_{X_\alpha}$  is a Banach space. Because  $A^\alpha$  is invertible, the graph norm is equivalent to the norm  $\|u\|_{X_\alpha}$  (c.f. [4]).

<sup>4</sup>The existence of  $C_{p,\alpha}$  for  $\alpha > N(1/2 - 1/p)/2$  has been shown (e.g., [4]).

imposing some assumptions on the function  $u \in X_\alpha$  by using the Riesz kernel and the classical Lorentz space.

For a bounded or unbounded domain with a Lipschitz boundary, Plum [9] has proposed a formula that also provides the embedding constant for  $\alpha = 1/2$ . The details of these embedding constants are sketched in Section 2.

In this paper, we investigate the embedding constant on a bounded domain for  $\alpha > N(1/2 - 1/p)/2$  using two lemmas, which are presented as in Lemma 3.1 and Lemma 3.2, with respect to the analytic semigroup<sup>5</sup>  $e^{-tA}$  over  $L^2(\Omega)$  generated by  $-A$ . Our main theorem provides a formula for obtaining the embedding constant.

**Theorem 1.1.** *Let  $\Omega \subset \mathbb{R}^N$  ( $N \in \mathbb{N}$ ) be a bounded domain. The minimum eigenvalue of  $A$  is denoted by  $\lambda_{\min}$ . For  $2 < p \leq \infty$ , let  $r$  and  $\alpha$  be real values such that  $1/r = 1/2 - 1/p$  and  $N/(2r) < \alpha \leq 1$ , where  $1/p = 0$  if  $p = \infty$ . Then,*

$$(5) \quad \|u\|_{L^p(\Omega)} \leq C_{p,\alpha} \|u\|_{X_\alpha}, \forall u \in \mathcal{D}(A^\alpha),$$

holds for

$$(6) \quad C_{p,\alpha} = \frac{\alpha^\alpha \Gamma(\alpha - \frac{N}{2r})}{(4\pi)^{\frac{N}{2r}} (\frac{N}{2r})^{\frac{N}{2r}} (\alpha - \frac{N}{2r})^{\alpha - \frac{N}{2r}} \Gamma(\alpha)} \lambda_{\min}^{-(\alpha - \frac{N}{2r})},$$

where  $\Gamma(x)$  is the Gamma function defined by  $\Gamma(x) = \int_0^\infty t^{x-1} e^{-t} dt$  for  $x > 0$ . Furthermore, if  $p = 2$  and  $0 \leq \alpha \leq 1$ , the inequality (5) also holds for

$$(7) \quad C_{p,\alpha} = \lambda_{\min}^{-\alpha}.$$

Moreover, the following corollary is obtained by combining the best constant described in [12]:

**Corollary 1.2.** *Let  $\Omega \subset \mathbb{R}^N$  ( $N \in \mathbb{N}$ ) be a bounded domain. The minimum eigenvalue of  $A$  is denoted by  $\lambda_{\min}$ . For  $2 < p < \infty$ , let  $r$  and  $\alpha$  be real values such that  $1/r = 1/2 - 1/p$  and  $N/(2r) < \alpha \leq 1$ . We impose  $u = 0$  on  $\partial\Omega$  in the trace sense on  $D(A^\alpha)$ . Then,*

$$\|u\|_{L^p(\Omega)} \leq \tilde{C}_{p,\alpha} \|u\|_{X_\alpha}, \forall u \in \mathcal{D}(A^\alpha),$$

holds for

$$\tilde{C}_{p,\alpha} = \frac{\Gamma\left(\frac{N}{p}\right)^{\frac{1}{2}} \Gamma(N)^{\frac{1}{r}}}{(4\pi)^{\frac{N}{2r}} \Gamma\left(\frac{N(p-1)}{p}\right)^{\frac{1}{2}} \Gamma\left(\frac{N}{2}\right)^{\frac{1}{r}}} \lambda_{\min}^{-(\alpha - \frac{N}{2r})},$$

where  $\Gamma(x)$  is the Gamma function defined by  $\Gamma(x) = \int_0^\infty t^{x-1} e^{-t} dt$  for  $x > 0$ .

This paper is organized as follows: we provide some results of previous studies about embedding constants in Section 2. We prove Theorem 1.1 and Corollary 1.2 in Section 3. We present numerical examples of estimating the embedding constants including some results of previous studies in Section 4.

<sup>5</sup>We note that the operator  $-A$  generates the analytic semigroup  $e^{-tA}$  (c.f. [4]).

2. SOME PREVIOUS STUDIES RELATED TO  $C_{p,\alpha}$ 

Here we briefly describe previous studies of embedding constants from  $X_\alpha$  to  $L^p(\Omega)$ . We note that  $X_{1/2} = H_0^1(\Omega)$  and  $\|u\|_{X_{1/2}} = \sqrt{(\nabla u, \nabla u)_{L^2(\Omega)}}$  hold (cf. [14]). If the domain is  $\mathbb{R}^N$  ( $N \in \mathbb{N}$ ), the best constant of the Sobolev type inequality was that given by Aubin [10] and Talenti [11]. They independently derived the following estimate:

**Theorem 2.1** ([10, 11]). *For  $N \geq 2$ , let  $q$  be a real number satisfying  $1 < q < N$ . Let  $p = Nq/(N - q)$ . For any point  $x = (x_1, \dots, x_N) \in \mathbb{R}^N$ , we define  $|x|_2 := \sqrt{|x_1|^2 + \dots + |x_N|^2}$ . Then,*

$$(8) \quad \left( \int_{\mathbb{R}^N} |u(x)|^p dx \right)^{\frac{1}{p}} \leq T_p \left( \int_{\mathbb{R}^N} |\nabla u(x)|_2^q dx \right)^{\frac{1}{q}}$$

holds for

$$(9) \quad T_p = \pi^{-\frac{1}{2}} N^{-\frac{1}{q}} \left( \frac{q-1}{N-q} \right)^{1-\frac{1}{q}} \left( \frac{\Gamma(1 + \frac{N}{2})\Gamma(N)}{\Gamma(\frac{N}{q})\Gamma(1 + N - \frac{N}{q})} \right)^{\frac{1}{N}}$$

and  $T_p$  is the best constant of (8).

Lieb [12] also obtained the best constant as follows:

**Theorem 2.2** ([12]). *For  $N \in \mathbb{N}$ , let  $\alpha$  be a real number satisfying  $0 < \alpha < N/4$ . Then,*

$$(10) \quad \|u\|_{L^{\frac{2N}{N-4\alpha}}(\mathbb{R}^N)} \leq E_\alpha \|A^\alpha u\|_{L^2(\mathbb{R}^N)}, \quad \forall u \in \mathcal{D}(A^\alpha)$$

holds for

$$(11) \quad E_\alpha = 2^{-2\alpha} \pi^{-\alpha} \sqrt{\frac{\Gamma(\frac{N-4\alpha}{2})}{\Gamma(\frac{N+4\alpha}{2})}} \left( \frac{\Gamma(N)}{\Gamma(N/2)} \right)^{\frac{2\alpha}{N}}$$

and  $E_\alpha$  is the best constant of (10).

If  $\Omega$  is any bounded domain, some embedding constants were obtained. By using a zero-extension and Theorem 2.1, the embedding constant from  $X_{1/2}(= H_0^1(\Omega))$  to  $L^p(\Omega)$  can be given as follows:

**Theorem 2.3** ([8], [15]). *Let  $\Omega \subset \mathbb{R}^N$  ( $N \geq 2$ ) be a bounded domain. Let  $p$  be a real number such that  $p \in (N/(N-1), 2N/(N-2))$  if  $N \geq 3$  and  $p \in (2, \infty)$  if  $N = 2$ . Moreover, let  $q = Np/(N+p)$ . Then,*

$$\|u\|_{L^p(\Omega)} \leq M_p \left\| A^{\frac{1}{2}} u \right\|_{L^2(\Omega)}, \quad \forall u \in \mathcal{D}(A^{\frac{1}{2}})$$

holds for

$$M_p = |\Omega|^{\frac{2-q}{2q}} T_p,$$

where  $|\Omega|$  is the measure of  $\Omega$  and  $T_p$  is a constant in (9).

Xiao and Zhai [13] obtained the following embedding constant:

**Theorem 2.4** ([13]). *Let  $\Omega \subset \mathbb{R}^N$  ( $N \in \mathbb{N}$ ) be a bounded domain and  $2 \leq p < \infty$ . Let real numbers  $r$  and  $\gamma$  satisfying  $1/r = 1/2 + 1/p$  and  $(1 - \gamma)/r = 1/2$ . For  $u \in \mathcal{D}(A^{N/4})$  satisfying  $\text{supp}(A^{N/4}u) \subset \Omega$ ,*

$$(12) \quad \|u\|_{L^p(\Omega)} \leq J_p \left\| A^{\frac{N}{4}} u \right\|_{L^2(\Omega)}$$

holds for

$$(13) \quad J_p = \frac{N^{\frac{\gamma-1}{r}} \omega_{N-1}^{\frac{1-\gamma}{r}} |\Omega|^{\frac{\gamma}{r}}}{2^{\frac{N}{2}} \pi^{\frac{N}{2}} \gamma^{\frac{1}{r}}},$$

where  $\omega_{N-1}$  is the surface area of the unit sphere in  $\mathbb{R}^N$ .

**Remark.** Theorem 2.4 is obtained by substituting  $q = p$  and  $p = 2$  into (2) of Theorem 2.1 in [13].

For cases in which the bounded or unbounded domain  $\Omega$  have a Lipschitz boundary, Plum [9] provided the embedding constant using the minimum eigenvalue of  $A$ .

**Theorem 2.5** ([9]). *Let  $\lambda_{\min}$  be the minimum eigenvalue of the Laplace operator for  $\Omega \subset \mathbb{R}^N$  ( $N \geq 2$ ) with a Lipschitz boundary. Specify  $p \in [2, 2N/(N-2))$  and  $s = N(1/p - 1/2 + 1/N)$ , where  $2N/(N-2) = \infty$  if  $N = 2$ . Then,*

$$(14) \quad \|u\|_{L^p(\Omega)} \leq L_p \left\| A^{\frac{1}{2}} u \right\|_{L^2(\Omega)}, \quad \forall u \in \mathcal{D}(A^{\frac{1}{2}})$$

holds for

$$(15) \quad L_p = \begin{cases} \left(\frac{1}{2}\right)^{\frac{1}{2} + \frac{2\nu-3}{p}} \left[\frac{p}{2} \left(\frac{p}{2} - 1\right) \cdots \left(\frac{p}{2} - \nu + 2\right)\right]^{\frac{2}{p}} \lambda_{\min}^{-\frac{1}{p}} & (N = 2), \\ \left(\frac{N-1}{\sqrt{N(N-2)}}\right)^{1-s} \lambda_{\min}^{-\frac{s}{2}} & (N \geq 3), \end{cases}$$

respectively, where  $\nu$  is the maximum integer such that  $\nu \leq p/2$  and the term in brackets is 1 if  $\nu = 1$  and  $N = 2$ .

### 3. PROOFS OF THEOREM 1.1 AND COROLLARY 1.2

We introduce two fundamental lemmas in order to prove Theorem 1.1 and Corollary 1.2. The following lemma holds by using the fundamental theory of a semi-group:

**Lemma 3.1** (cf. [4], [14]). *Let  $\Omega \subset \mathbb{R}^N$  ( $N \in \mathbb{N}$ ) be a bounded domain. For  $0 \leq \beta \leq 1$ ,  $A^\beta : \mathcal{D}(A^\beta) \rightarrow L^2(\Omega)$  is invertible and*

$$(16) \quad (A^\beta)^{-1} u = \Gamma(\beta)^{-1} \int_0^\infty t^{\beta-1} e^{-tA} u \, dt$$

is expressed for  $u \in L^2(\Omega)$  <sup>6</sup>.

Moreover, some properties of the Dirichlet heat kernel give the following lemma:

<sup>6</sup>The function  $(A^\beta)^{-1}u$  can be expressed by using the Dunford integral (e.g., [14]). The resulting expression corresponds with the right hand of (16) (e.g., [4]).

**Lemma 3.2** (cf. [16]). *Let  $\Omega \subset \mathbb{R}^N$  ( $N \in \mathbb{N}$ ) be a bounded domain. For  $1 \leq p < q \leq \infty$ , put  $1/r = 1/p - 1/q$ , where  $1/q = 0$  if  $q = \infty$ . For all  $t \in (0, \infty)$ ,*

$$\|e^{-tA}u\|_{L^q(\Omega)} \leq (4\pi t)^{-\frac{N}{2r}} \|u\|_{L^p(\Omega)}, \quad \forall u \in L^p(\Omega)$$

holds.

For  $0 \leq \alpha < 1$ ,  $A^{-\alpha}$  denotes  $(A^\alpha)^{-1}$ . For any bounded operator  $T : L^p(\Omega) \rightarrow L^q(\Omega)$  ( $1 \leq p, q \leq \infty$ ), let

$$\|T\|_{L^p, L^q} = \sup_{u \in L^p(\Omega) \setminus \{0\}} \frac{\|Tu\|_{L^q(\Omega)}}{\|u\|_{L^p(\Omega)}}.$$

First, we prove Theorem 1.1.

*Proof of Theorem 1.1.* First, we show that Theorem 1.1 holds for  $2 < p \leq \infty$ . Let  $r$  and  $\alpha$  be real values such that  $1/r = 1/2 - 1/p$  and  $N/(2r) < \alpha \leq 1$ , where  $1/p = 0$  if  $p = \infty$ . Put  $u \in \mathcal{D}(A^\alpha)$ . From Lemma 3.1,

$$\begin{aligned} \|u\|_{L^p(\Omega)} &= \|A^{-\alpha}A^\alpha u\|_{L^p(\Omega)} \\ &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} \|e^{-tA}A^\alpha u\|_{L^p(\Omega)} dt \\ &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} \|e^{-tA}\|_{L^2, L^p} \|A^\alpha u\|_{L^2(\Omega)} dt \\ &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} \|e^{-\beta t A}\|_{L^2, L^p} \|e^{-(1-\beta)tA}\|_{L^2, L^2} \|A^\alpha u\|_{L^2(\Omega)} dt \end{aligned}$$

holds for  $0 < \beta < 1$ . The spectral mapping theorem and Lemma 3.2 state that

(17)

$$\begin{aligned} \|u\|_{L^p(\Omega)} &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} (4\pi\beta t)^{-\frac{N}{2r}} e^{-t(1-\beta)\lambda_{\min}} \|A^\alpha u\|_{L^2(\Omega)} dt \\ &= (4\pi\beta)^{-\frac{N}{2r}} \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1-\frac{N}{2r}} e^{-t(1-\beta)\lambda_{\min}} dt \|A^\alpha u\|_{L^2(\Omega)} \\ &= (4\pi\beta)^{-\frac{N}{2r}} \Gamma(\alpha)^{-1} \left( \frac{1}{(1-\beta)\lambda_{\min}} \right)^{\alpha-1-\frac{N}{2r}} \int_0^\infty s^{\alpha-1-\frac{N}{2r}} e^{-s} \left( \frac{1}{(1-\beta)\lambda_{\min}} \right) ds \|A^\alpha u\|_{L^2(\Omega)} \\ &= \frac{\Gamma(\alpha - \frac{N}{2r})}{(4\pi)^{\frac{N}{2r}} g(\beta) \Gamma(\alpha)} \lambda_{\min}^{-(\alpha - \frac{N}{2r})} \|A^\alpha u\|_{L^2(\Omega)} \end{aligned}$$

holds, where  $g(\beta) := \beta^{\frac{N}{2r}} (1-\beta)^{\alpha - \frac{N}{2r}}$  ( $0 < \beta < 1$ ) and  $\Gamma(\alpha - N/2r) < \infty$  from  $\alpha > N/(2r)$ . Because the function  $g$  admits the maximal value at  $\beta = \frac{N}{2r\alpha} (< 1)$ , it follows that

$$(18) \quad \|u\|_{L^p(\Omega)} \leq \frac{\alpha^\alpha \Gamma(\alpha - \frac{N}{2r})}{(4\pi)^{\frac{N}{2r}} (\frac{N}{2r})^{\frac{N}{2r}} (\alpha - \frac{N}{2r})^{\alpha - \frac{N}{2r}} \Gamma(\alpha)} \lambda_{\min}^{-(\alpha - \frac{N}{2r})} \|A^\alpha u\|_{L^2(\Omega)}.$$

Next, we prove Theorem 1.1 for  $p = 2$ . For  $0 \leq \alpha \leq 1$  and  $u \in \mathcal{D}(A^\alpha)$ , the spectral mapping theorem and Lemma 3.1 yield

$$\begin{aligned}
 (19) \quad \|u\|_{L^2(\Omega)} &= \|A^{-\alpha}A^\alpha u\|_{L^2(\Omega)} \\
 &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} \|e^{-tA}A^\alpha u\|_{L^2(\Omega)} dt \\
 &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} \|e^{-tA}\|_{L^2, L^2} dt \|A^\alpha u\|_{L^2(\Omega)} \\
 &\leq \Gamma(\alpha)^{-1} \int_0^\infty t^{\alpha-1} e^{-t\lambda_{\min}} dt \|A^\alpha u\|_{L^2(\Omega)} \\
 &= \lambda_{\min}^{-\alpha} \|A^\alpha u\|_{L^2(\Omega)}.
 \end{aligned}$$

From (19),  $\|A^{-\alpha}\|_{L^2, L^2} \leq \lambda_{\min}^{-\alpha}$  and  $C_{2, \alpha} = \lambda_{\min}^{-\alpha}$  hold for  $0 \leq \alpha \leq 1$ .  $\square$

Next, we provide the proof of Corollary 1.2 by using Theorem 2.2 and (19).

*Proof of Corollary 1.2.* For  $\beta = N(p-2)/4p (< N/4)$ , let  $E_\beta$  be defined by (11). As a results of extension by zero and Theorem 2.2, it follows for  $u \in \mathcal{D}(A^\alpha)$

$$\begin{aligned}
 \|u\|_{L^p(\Omega)} &= \|u\|_{L^p(\mathbb{R}^N)} \\
 &\leq E_\beta \|A^\beta u\|_{L^2(\mathbb{R}^N)} \\
 &= E_\beta \|A^\beta u\|_{L^2(\Omega)},
 \end{aligned}$$

where  $\beta = N(p-2)/4p = N/2r < \alpha$  and  $\mathcal{D}(A^\alpha) \subset \mathcal{D}(A^\beta)$  for  $\beta < \alpha$  (cf. [4]). Moreover, (19) gives

$$\begin{aligned}
 (20) \quad \|u\|_{L^p(\Omega)} &\leq E_\beta \|A^{\beta-\alpha}\|_{L^2, L^2} \|A^\alpha u\|_{L^2(\Omega)} \\
 &\leq E_\beta \lambda_{\min}^{\beta-\alpha} \|A^\alpha u\|_{L^2(\Omega)}.
 \end{aligned}$$

The inequality (20) implies that Corollary 1.2 holds.  $\square$

**Remark.** All elements  $u \in X_\alpha$  do not always satisfy  $u = 0$  on  $\partial\Omega$  in the trace sense. For example, we consider the regularity of functions in  $X_\alpha$  if  $\Omega$  is bounded and convex. Then, it is well known that the function space  $X_\alpha$  is equivalent to the fractional Sobolev space  $H^{2\alpha}(\Omega)$  for  $0 \leq \alpha < 1/4$  [17]. Note that all elements  $u \in X_\alpha$  satisfy  $u = 0$  on  $\partial\Omega$  in the trace sense for  $1/4 < \alpha \leq 1$  and  $\alpha \neq 3/4$  [17]. Moreover, if  $\Omega \subset \mathbb{R}^2$  is a convex polygon, it is proved that all elements  $u \in X_\alpha$  satisfy  $u = 0$  on  $\partial\Omega$  even for  $\alpha = 3/4$  [18].

#### 4. NUMERICAL EXAMPLES

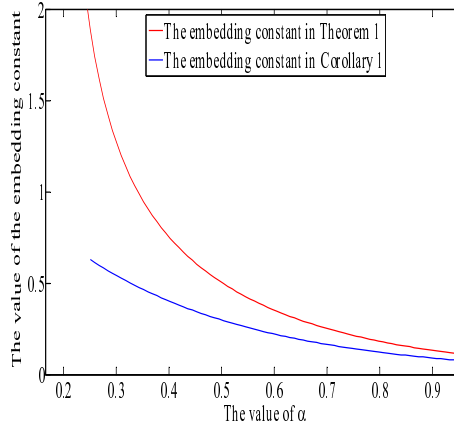
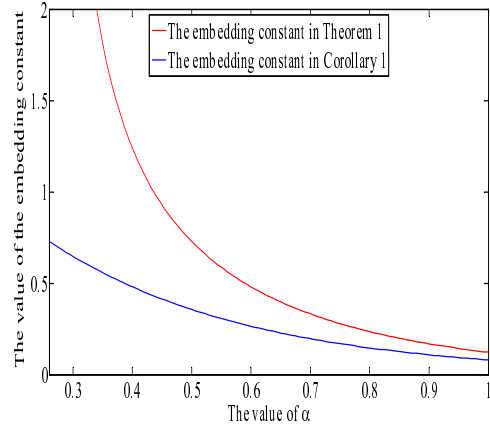
In this section, we provide some numerical examples to estimate the embedding constant  $C_{p, \alpha}$  in Theorem 1.1 and  $\tilde{C}_{p, \alpha}$  in Corollary 1.2. All computations were carried out on computer running Windows 7 Professional with an Intel (R) Core (TM) i7-5600U CPU and 16GB RAM. We used MATLAB R2012a with INTLAB ver. 7.1 [19]. Let  $\Omega := (0, 1) \times (0, 1)$  and  $\alpha = 1/2$ . We note  $\lambda_{\min} = 2\pi^2$ . We computed  $C_{p, 1/2}$  in Theorem 1.1,  $\tilde{C}_{p, 1/2}$  in Corollary 1.2,  $M_p$  in Theorem 2.3,  $J_p$  in Theorem 2.4, and  $L_p$  in Theorem 2.5, respectively. The values of these constants are displayed in Table 1.

In Table 1,  $C_{p, 1/2}$  is a rough estimate compared with the other estimates. However,  $\tilde{C}_{p, 1/2}$  is tighter than the other values except for  $M_p$ .

TABLE 1. Comparison of each value on the domain  $\Omega = (0, 1) \times (0, 1)$ 

$p$	$C_{p,1/2}$	$\tilde{C}_{p,1/2}$	$M_p$	$J_p$	$L_p$
3	0.504227914	0.298833496	0.279911047	0.605357242	0.329648994
4	0.728930690	0.356352736	0.318309887	0.643037069	0.398942281
5	0.934611867	0.406084557	0.357803885	0.678020304	0.489090310
6	1.129584278	0.450720364	0.395853999	0.710834333	0.552669458

Varying  $p = 3, 4, 5, 6$ , and  $\alpha$  such that  $1/2 - 1/p < \alpha \leq 1$ ,  $C_{p,\alpha}$  in Theorem 1.1 and  $\tilde{C}_{p,\alpha}$  in Corollary 1.2 are plotted on the domain  $\Omega = (0, 1) \times (0, 1)$  in Figure 1, 2, 3, and 4, respectively. The plots in the four figures indicate that the estimate in Corollary 1.2 is sharper than that in Theorem 1.1. However, as can be seen in Figure 1,  $\tilde{C}_{p,\alpha}$  is not plotted for  $1/6 < \alpha < 1/4$  because the estimate in Corollary 1.2 does not hold for  $\alpha < 1/4$  (e.g., Remark 3 of this paper).

FIGURE 1. Values of  $C_{3,\alpha}$  and  $\tilde{C}_{3,\alpha}$  on the domain  $\Omega = (0, 1) \times (0, 1)$ FIGURE 2. Values of  $C_{4,\alpha}$  and  $\tilde{C}_{4,\alpha}$  on the domain  $\Omega = (0, 1) \times (0, 1)$ 

Let  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$  and  $\alpha = 1/2$ . Then, the minimum eigenvalue over the domain  $\Omega$  is included in  $[9.639717, 9.639724]$  [20]. We compute  $C_{p,1/2}$ ,  $\tilde{C}_{p,1/2}$ ,  $M_p$ ,  $J_p$ , and  $L_p$ , respectively. The value of these constants are displayed in Table 2. Similar to the results in Table 1,  $C_{p,\alpha}$  is the rough estimate and the value of  $\tilde{C}_{p,\alpha}$  is tighter than the other constants except for  $M_p$ . Varying  $p = 3, 4, 5, 6$  and  $\alpha$  such that  $1/2 - 1/p < \alpha \leq 1$ ,  $C_{p,\alpha}$  in Theorem 1.1 are plotted on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$  in Figure 5, 6, 7, and 8, respectively. On the other hand, the values of  $\tilde{C}_{p,\alpha}$  are not plotted. This is because the domain  $\Omega$  is a non-convex domain; therefore, it is difficult for us to judge the range of  $\alpha$  in which all elements  $u \in \mathcal{D}(A^\alpha)$  satisfy  $u = 0$  on  $\partial\Omega$  in the trace sense.

Moreover, we recall that our main theorem enables us to obtain the embedding constant from  $X_\alpha$  to  $L^\infty(\Omega)$  for  $\alpha > 1/2$  by Theorem 1.1. Figure 9 and 10 show the embedding constant  $C_{\infty,\alpha}$  in Theorem 1.1 for  $1/2 < \alpha \leq 1$  on  $\Omega = (0, 1) \times (0, 1)$



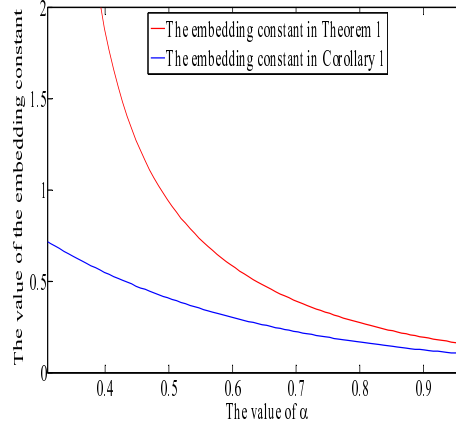


FIGURE 3. Values of  $C_{5,\alpha}$  and  $\tilde{C}_{5,\alpha}$  on the domain  $\Omega = (0, 1) \times (0, 1)$

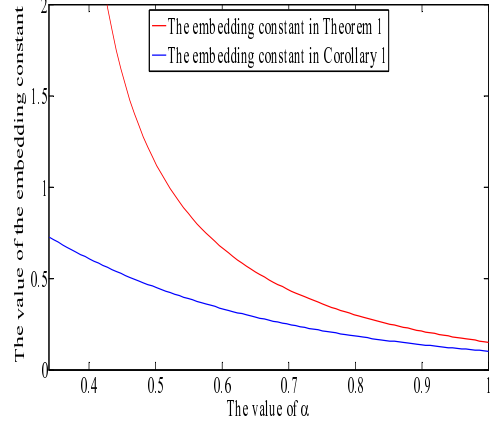


FIGURE 4. Values of  $C_{6,\alpha}$  and  $\tilde{C}_{6,\alpha}$  on the domain  $\Omega = (0, 1) \times (0, 1)$

TABLE 2. Comparison of each value on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$

$p$	$C_{p,1/2}$	$\tilde{C}_{p,1/2}$	$M_p$	$J_p$	$L_p$
3	0.640297840	0.379476099	0.403701587	0.8730762213	0.418607405
4	0.871972121	0.426281476	0.418919370	0.8462843754	0.477228565
5	1.078659524	0.468672602	0.445727370	0.8446308696	0.564471670
6	1.272905652	0.507907653	0.475395696	0.8536672189	0.622792022

and  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$ , respectively. The results in these two figures indicate that each embedding constant  $C_{\infty,\alpha}$  seems to grow up if  $\alpha$  tends to  $1/2$ , respectively. Note that we cannot obtain the embedding constant  $X_\alpha$  to  $L^\infty(\Omega)$  using Corollary 1.2.

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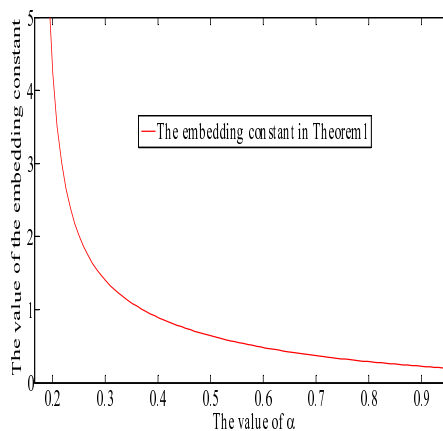


FIGURE 5. Values of  $C_{3,\alpha}$  on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$

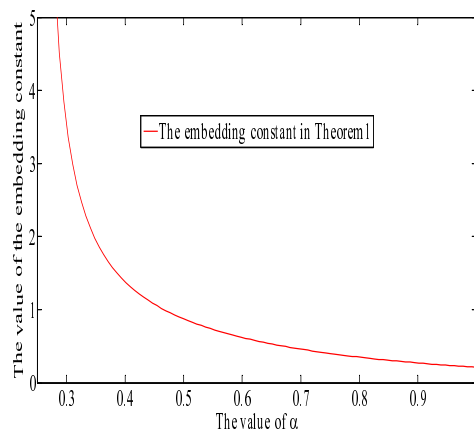


FIGURE 6. Values of  $C_{4,\alpha}$  on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$

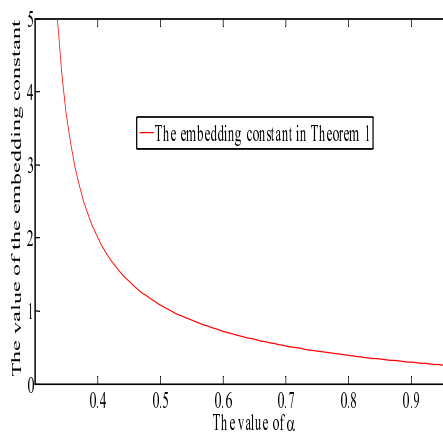


FIGURE 7. Values of  $C_{5,\alpha}$  on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$

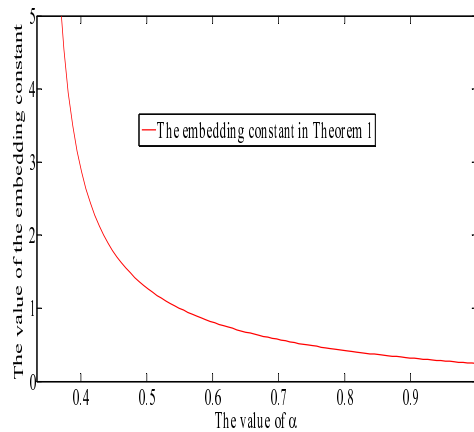


FIGURE 8. Values of  $C_{6,\alpha}$  on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$

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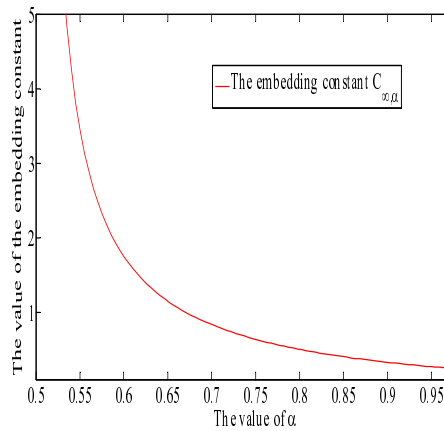


FIGURE 9. Values of  $C_{\infty, \alpha}$  on the domain  $\Omega = (0, 1) \times (0, 1)$

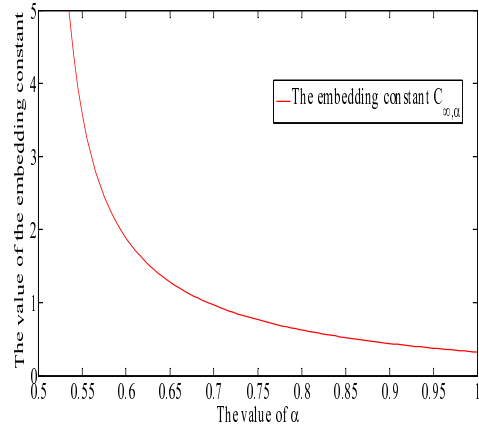


FIGURE 10. Values of  $C_{\infty, \alpha}$  on the domain  $\Omega = (0, 2) \times (0, 2) \setminus [1, 2] \times [1, 2]$

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GRADUATE SCHOOL OF FUNDAMENTAL SCIENCE AND ENGINEERING, WASEDA UNIVERSITY, TOKYO 169-8555, JAPAN

*E-mail address:* `makoto.math@fuji.waseda.jp`

RESEARCH INSTITUTE FOR SCIENCE AND ENGINEERING, WASEDA UNIVERSITY, TOKYO 169-8555, JAPAN

*E-mail address:* `takitoshi@aoni.waseda.jp`

INSTITUTE OF MATHEMATICS, UNIVERSITY OF TSUKUBA, IBARAKI 305-0006, JAPAN

*E-mail address:* `tkubo@math.tsukuba.ac.jp`

DEPARTMENT OF APPLIED MATHEMATICS, WASEDA UNIVERSITY AND CREST, JST, TOKYO 169-8555, JAPAN

*E-mail address:* `oishi@waseda.jp`